

Supp. IDS

PATENT 1 1001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Group Art Unit:  | To Be Assigned )         | SUPPLEMENTAL           |
|------------------|--------------------------|------------------------|
| Examiner:        | To Be Assigned )         | INFORMATION DISCLOSURE |
| Serial No.:      | 09/422,378               | STATEMENT              |
| Inventor(s):     | SHIMODA, et al           |                        |
| Filed:           | October 21, 1999         |                        |
| For:             | ELECTRONIC PAPER PRINTER | RECEIVED NOV 0 9 2000  |
| Attorney Docket: | 9319T-000111 )           | NOV 0 9 2000           |
|                  |                          | TECHNOLOGY CENTER 2800 |

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on South So

Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, Applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications or other information submitted for consideration by the Office (except U.S. patent applications) are listed on PTO-1449, attached hereto.

| П.                      | COPIES  |   |   |  |  |
|-------------------------|---|---|---|--|--|
|                         | aX  | each publication or to<br>other information or t    | a legible copy of (i) each U.S hat portion which caused it to hat portion which caused it to application is included. | be listed; and (iii) all                         |  |
|                         | b   | 1449 or on the copies previously cited by           | tions or other information who of PTO-892 but which are not or submitted to the PTO in as been relied upon for an ear | enclosed herewith were one of the following      |  |
|                         | U.S. S  | erial Number  |   | U.S. Filing Date                                 |  |
| Ш.                      | . CONCISE EXPLANATION OF THE RELEVANCE (check at least one box  |   |   |  |  |
|                         | aX  | Except as may be incother information ar required). | dicated below in (b), all of the e in the English language (c   | patents, publications or concise explanation not |  |
|                         | b   | A concise explanatio information listed that        | n of the relevance of all pater t is not in the English language  | ets, publications or other is as follows:        |  |
|                         | c   | The following addi consideration.                   | tional information is provid  | ed for the Examiner's                            |  |
| IV.                     | CROSS REFERENCE TO RELATED APPLICATION(S)   |   |   |  |  |
| matter<br>Exami<br>122. | The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) applications to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122. |   |   |  |  |
|                         | Serial  | No.   | Filing Date   | Art Unit   |  |
|                         |   |   | FEES  |  |  |
| V.                      | THIS IDS IS BEING FILED UNDER:  |   |   |  |  |
|                         | a37 C.  | F.R. 1.97(b): (check o                              | ne box)   |  |  |
|                         |   |   |   |  |  |

|   |                                       | 1           | within three months of the filing date of a national application (37 C.F.R. 1.97(b)(1)). No fee or certification is required.  |  |
|---|---------------------------------------|-------------|--|--|
|   |                                       | 2           | within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. 1.97(b)(2)). No fee or certification is required.  |  |
|   |                                       | 3. <u>X</u> | before the mailing date of a first Action on the merits (37 C.F.R. 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. 197(c) and see the certification under 37 C.F.R. 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$240.00 as required by 37 C.F.R. 1.17(p).   |  |
|   | b                                     | _37 C.F     | F.R. 1.97(c): (check one box)  |  |
|   |                                       | 1           | before the mailing date of a Final Office Action under 37 C.F.R. 1.113 (See 37 C.F.R. 1.97(c)(1)). No certification required; therefore, a fee in the amount of \$240.00 is required by 37 C.F.R. 1.17(p).   |  |
|   |                                       | 2           | _before the mailing date of a Notice of Allowance under 37 C.F.R. 1.311 (See 37 C.F.R. 1.97(c)(2)). See the certification below. No fee is required.   |  |
| VI. CERTIFICATION UNDER 37 C.F.R. 1.97(e) |                                       | TFICAT      | TION UNDER 37 C.F.R. 1.97(e) (check only one box)  |  |
|   | The undersigned hereby certifies that |             |  |  |
|   | a                                     | _           | each item of information contained in the IDS was cited in a communication from a foreign or PCT Patent Office in a counterpart foreign or PCT application not more than three months prior to the filing of this IDS; or  |  |
|   | b                                     | -           | no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.  |  |
|   | c                                     | _           | Some of the items of information were cited in a communication from a foreign Patent Office. As to this information, the undersigned certified that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in the IDS was cited in a communication from a |  |

foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

| VII.   | PAYMENT OF FEES (check one box)                         |   |  |  |
|--|---|---|--|--|
|  | a   | A check in the amount of \$240.00 is enclosed for the above-identified fee. |  |  |
|  | b   | <del>-</del> -  | ant No. 08-0750 in the amount of \$240.00 for plicate copy of this paper is attached.  |  |
| admissi  | ion that they co<br>would render th                     | nstitute statutory prior art or co  | in the interests of candor and without any<br>ontain matter which anticipates the invention or<br>or in combination, to a person of ordinary skill |  |
| undersi<br>request   | gned. If it is ed to consider                           | determined that this IDS has  | ng this IDS, he/she is requested to contact the been filed under the wrong rule, the PTO is e (with a petition if necessary) and charge the        |  |
| Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 08-0750. |   |   |  |  |
|  |   |   | Respectfully submitted,<br>HARNESS, DICKEY & PIERCE, P.L.C.  |  |
| Date: (  | October 30  | _, 2000   | By: Co. Gregory Schivley, Reg. No. 27,382 Bryant E. Wade, Reg. No. 40,344  |  |
| Enclosi  | <u>X</u>  | PTO-1449 PTO-892 References Foreign Search Report Fee Other:                |  |  |
| P.O. Bo<br>Bloomf  | ss, DICKEY & I<br>ox 828<br>field Hills, Mic<br>41-1600 | ·   |  |  |